

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 9

<b>Confirmation Number</b>	3249
<b>Application Number</b>	10/823,416
<b>Filing Date</b>	04-12-2004
<b>First Named Inventor</b>	Matthew R. Selmon
<b>Group Art Unit</b>	3767
<b>Examiner Name</b>	GRAY PHILLIP A.
<b>Attorney Docket Number</b>	LUM5004USCNT3

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		2001-0047165	A1	Makower et al.	11-29-2001	(now 7,648,517)
		2002-0002349	A1	Flaherty et al.	01-03-2002	(now 6,685,648)
		2002-0123698	A1	Garibotto et al.	09-05-2002	(now 7,316,655)
		2003-0078562	A1	Makower et al.	04-24-2003	(now 6,602,241)
		2005-0171478		Selmon	08-04-2005	
		1,747,407		Wappeler	02-18-1930	
		4,405,314	A	Cope	09-20-1983	
		4,552,554	A	Gould et al.	11-12-1985	
		4,594,074		Andersen et al.	06-10-1986	
		4,648,402	A	Santos	03-10-1987	
		4,718,417		KITTRELL et al.	01-12-1988	
		4,774,949	A	Fogarty	10-04-1988	
		4,842,585		Witt	06-27-1989	
		4,917,097		PROUDIAN, deceased et al.	04-17-1990	
		4,947,864	A	Shockey et al.	08-14-1990	
		4,951,677		CROWLEY et al.	08-28-1990	
		5,000,185		YOCK	03-19-1991	
		5,001,556	A	Nakamura et al.	03-19-1991	
		5,019,040	A	Itaoka et al.	05-28-1991	

Examiner Signature	Date Considered
--------------------	-----------------

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Unique citation designation number. <sup>a</sup> See attached Kinda of U.S. Patent Documents. <sup>b</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>c</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>d</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>e</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 9

Confirmation Number 3249

Application Number 10/823,416

Filing Date 04-12-2004

First Named Inventor Matthew R. Selmon

Group Art Unit 3767

Examiner Name GRAY PHILLIP A.

Attorney Docket Number LUM5004USCNT3

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
	5,061,245	A	Waldvogel	10-29-1991		
	5,095,911	A	Pomeranz	03-17-1992		
	5,099,850	A	Matsui et al.	03-31-1992		
	5,106,387		KITTRELL et al.	04-21-1992		
	5,109,830	A	Cho	05-05-1992		
	5,190,528	A	Fonger et al.	03-02-1993		
	5,114,414	A	Buchbinder	05-19-1992		
	5,127,917	A	Niederhauser et al.	07-07-1992		
	5,183,470	A	Wettermann	02-02-1993		
	5,279,565	A	Klein et al.	01-18-1994		
	5,287,861	A	Wilk	02-22-1994		
	5,229,172	A	Cahalan et al.	07-20-1993		
	5,308,641	A	Cahalan et al.	05-03-1994		
	5,234,447	A	Kaster, et al.	08/10/1993		
	5,321,501	A	Swanson et al.	06-14-1994		
	5,334,142		PARADIS	08-02-1994		
	5,350,800	A	Verhoeven et al.	09-27-1994		
	5,350,377	A	Winston et al.	09-27-1994		
	5,368,037		EBERLE et al.	11-29-1994		
	5,383,467		AUER et al.	01-24-1995		
	5,389,096	A	Aita et al.	02-14-1995		
	5,409,019	A	Wilk	04-25-1995		
	5,409,453	A	Lundquist et al.	04-25-1995		
	5,413,581	A	Goy	05-09-1995		

Examiner Signature

Date Considered

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 601. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Unique citation designation number : See attached Kinds of U.S. Patent Documents. »Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) »For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document »Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible »Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 9

**Confirmation Number**

3249

**Application Number**

10/823,416

**Filing Date**

04-12-2004

**First Named Inventor**

Matthew R. Selmon

**Group Art Unit**

3767

**Examiner Name**

GRAY PHILLIP A.

**Attorney Docket Number**

LUMS004USCNT3

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,415,938	A	Cahalan et al.	05-16-1995	
		5,429,144	A	Wilk	07-04-1995	
		5,439,000		GUNDERSON et al.	08-08-1995	
		5,443,497	A	Venbrux	08-22-1995	
		5,452,733	A	Sterman et al.	09-26-1995	
		5,456,694		Marin et al.	10-10-1995	
		5,456,714		Owen	10-10-1995	
		5,459,570		SWANSON et al.	10-17-1995	
		5,464,395		Faxon et al.	11-07-1995	
		5,486,170		Winston et al.	01-23-1996	
		5,531,700		Moore et al.	07-02-1996	
		5,573,531		Gregory	11-12-1996	
		5,607,435		Sachdeva et al.	03-04-1997	
		5,628,761		Rizik	05-13-1997	
		5,695,457		St. Goar et al.	12-09-1997	
		5,707,389		Louw et al.	01-13-1998	
		5,741,270		Hansen et al.	04-21-1998	
		5,800,389		Burney et al.	09-01-1998	
		5,830,222	A	Mokower	11-03-1998	
		5,837,313	A	Ding et al.	11-17-1998	
		5,910,133		Gould	06-08-1999	
Examiner Signature				Date Considered		

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>2</sup>Unique citation designation number » See attached Kinds of U.S. Patent Documents » Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) » For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document » Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible » Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 9

<b>Confirmation Number</b>	3249
<b>Application Number</b>	10/823,416
<b>Filing Date</b>	04-12-2004
<b>First Named Inventor</b>	Matthew R. Selmon
<b>Group Art Unit</b>	3767
<b>Examiner Name</b>	GRAY PHILLIP A.
<b>Attorney Docket Number</b>	LUM5004USCNT3

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
	5,928,138	A		Knight et al.	07-27-1999	
	5,935,108			Katoh et al.	08-10-1999	
	5,938,671	A		Katoh et al.	08-17-1999	
	5,968,064			SELMON et al.	10-19-1999	
	6,015,423	A		Andrese	01-18-2000	
	6,036,713	A		Kieturakis	03-14-2000	
	6,068,636	A		Makower	05-30-2000	
	6,071,292	A		Makower et al.	06-06-2000	
	6,081,738	A		Hinohara et al.	06-27-2000	
	6,099,562	A		Ding et al.	08-08-2000	
	6,120,636	A		Nilsen et al.	09-19-2000	
	6,126,649	A		Van Tassel et al.	10-03-2000	
	6,153,252	A		Hossainy et al.	11-28-2000	
	6,159,225	A		Makower	12-12-2000	
Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 5 of 9

<b>Confirmation Number</b>	3249
<b>Application Number</b>	10/823,416
<b>Filing Date</b>	04-12-2004
<b>First Named Inventor</b>	Matthew R. Selmon
<b>Group Art Unit</b>	3767
<b>Examiner Name</b>	GRAY PHILLIP A.
<b>Attorney Docket Number</b>	LUM5004USCNT3

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,168,610	B1	Marin et al.	01-02-2001	
		6,217,527	B1	Selmon et al.	04-17-2001	
		6,217,549	B1	Selmon et al.	04-17-2001	
		6,221,049	B1	Selmon et al.	04-24-2001	
		6,231,546	B1	MILO et al.	05-15-2001	
		6,231,587	B1	Makower	05-15-2001	
		6,235,000	B1	MILO et al.	05-22-2001	
		6,283,951	B1	Flaherty et al.	09-04-2001	
		6,283,983	B1	Makower et al.	09-04-2001	
		6,287,317	B1	Makower et al.	09-11-2001	
		6,302,875	B1	Makower et al.	10-16-2001	
		6,330,884	B1	Kim	12-18-2001	
		6,375,615	B1	Flaherty et al.	04-23-2002	
		6,398,798	B2	SELMON et al.	06-04-2002	
		6,447,539	B1	Nelson	09-10-2002	
		6,491,707	B2	Makower et al.	12-10-2002	
		6,508,825	B1	SELMON et al.	01-21-2003	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance or not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 6 of 9

Confirmation Number	3249
Application Number	10/823,416
Filing Date	04-12-2004
First Named Inventor	Matthew R. Selmon
Group Art Unit	3767
Examiner Name	GRAY PHILLIP A.
Attorney Docket Number	LUM5004USCNT3

U.S. PATENT DOCUMENTS

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**i** Unique citation designation number. **2** See attached *Kinds of U.S. Patent Documents*. **3** Year of Entity that issued the document, by the two-letter code (WIPO Standard ST.3). **4** For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. **5** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. **6** Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 7 of 9

<b>Confirmation Number</b>	3249
<b>Application Number</b>	10/823,416
<b>Filing Date</b>	04-12-2004
<b>First Named Inventor</b>	Matthew R. Selmon
<b>Group Art Unit</b>	3767
<b>Examiner Name</b>	GRAY PHILLIP A.
<b>Attorney Docket Number</b>	LUM5004USCN3

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
		DE	2945237	C2	Lymeropoulos, Stavros	09-11-1979		
		DE	4429117	A1	Bess Medizintechnik GmbH	08-17-1994		
		FR	1585065		Societe Generale De Constructions Electriques et Mecaniques	01-09-1970		
		WO	83/03188		LASERSCOPE, INC.	09-29-1983		
		WO	91/19528		SCHNEIDER INC.	12-26-1991		
		WO	92/08510		BOSTON SCIENTIFIC CORPORATION	05-29-1992		
		WO	93/18818		THE SPECTRANETICS CORP..	09-30-1993		
		WO	95/02430		ADVANCED CARDIOVASCULAR SYS.	01-26-1995		
		WO	95/19143	A1	PAUL G. YOCK etc.	07-20-1995		
		WO	96/01590	A1	RIZIK, DAVID G.	01-25-1996		
		WO	96/04035	A1	CARDIOVASCULAR	02-15-1996		
		WO	97/13463	A1	TRANSVASCULAR, INC.	04-17-1997		
		WO	97/13471	A1	TRANSVASCULAR, INC.	04-17-1997		
		WO	97/26936	A1	ENDOGAD RESEARCH	-7-31-1997		
		WO	97/27893		TRANSVASCULAR, INC.	08-07-1997		
		WO	97/27897		TRANSVASCULAR, INC.	08-07-1997		

Examiner Signature	Date Considered
--------------------	-----------------

<sup>1</sup>Examiner initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Unique citation designation number. <sup>3</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Under Seal Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS; SEE ND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 8 of 9

<b>Confirmation Number</b>	3249
<b>Application Number</b>	10/823,416
<b>Filing Date</b>	04-12-2004
<b>First Named Inventor</b>	Matthew R. Selmon
<b>Group Art Unit</b>	3767
<b>Examiner Name</b>	GRAY PHILLIP A.
<b>Attorney Docket Number</b>	LUM5004USCNT3

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup> KindCode <sup>5</sup>				
		WO	97/27898	TRANSVASCULAR, INC.	08-07-1997		
		WO	97/37581	ENDOSCOPIC TECHNOL.	10-16-1997		
		WO	97/44083	SARCOS, INC.	11-28-1997		
		WO	98/08456	TRANSVASCULAR, INC.	03-05-1998		
		WO	98/16161	TRANSVASCULAR, INC.	04-23-1998		
		WO	98/46115	TRANSVASCULAR, INC.	10-22-1998		
		WO	99/25273	TRANSVASCULAR INC.	05-27-1999		
		WO	99/48545	TRANSVASCULAR INC.	09-30-1999		
		WO	99/49793	TRANSVASCULAR INC.	02-03-2000		
		WO	99/49910	TRANSVASCULAR INC.	10-07-1999		
		WO	99/51162	TRANSVASCULAR INC.	10-14-1999		
		WO	99/62430	TRANSVASCULAR INC.	12-09-1999		
		WO	00/09195	TRANSVASCULAR INC.	02-24-2000		
		WO	00/24449	TRANSVASCULAR INC.	05-04-2000		
		WO	00/56387	TRANSVASCULAR INC.	09-28-2000		
		WO	01/49187	TRANSVASCULAR INC.	07-12-2001		
		WO	01/60427	TRANSVASCULAR INC.	08-23-2001		

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS; SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 9 of 9

Confirmation Number	3249
Application Number	10/823,416
Filing Date	04-12-2004
First Named Inventor	Matthew R. Selmon
Group Art Unit	3767
Examiner Name	GRAY PHILLIP A.
Attorney Docket Number	LUM5004USCNT3

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Date Considered	
-----------------------	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number; <sup>2</sup> See attached *Kinds of U.S. Patent Documents*; <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3); <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document; <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible; <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS; SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.